

Neutron scattering presentation series

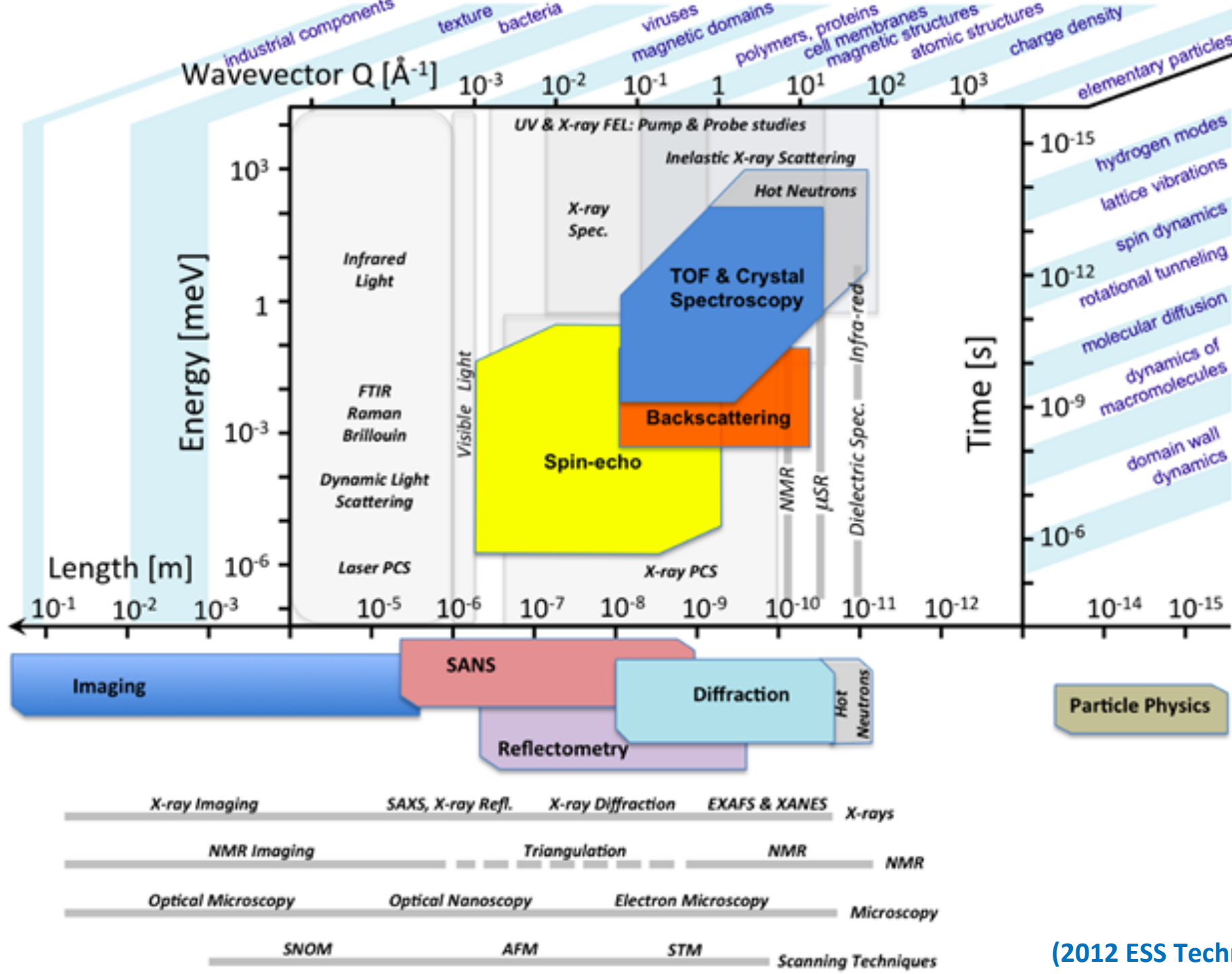
(1) Basic concepts and neutron diffraction

Xin Li

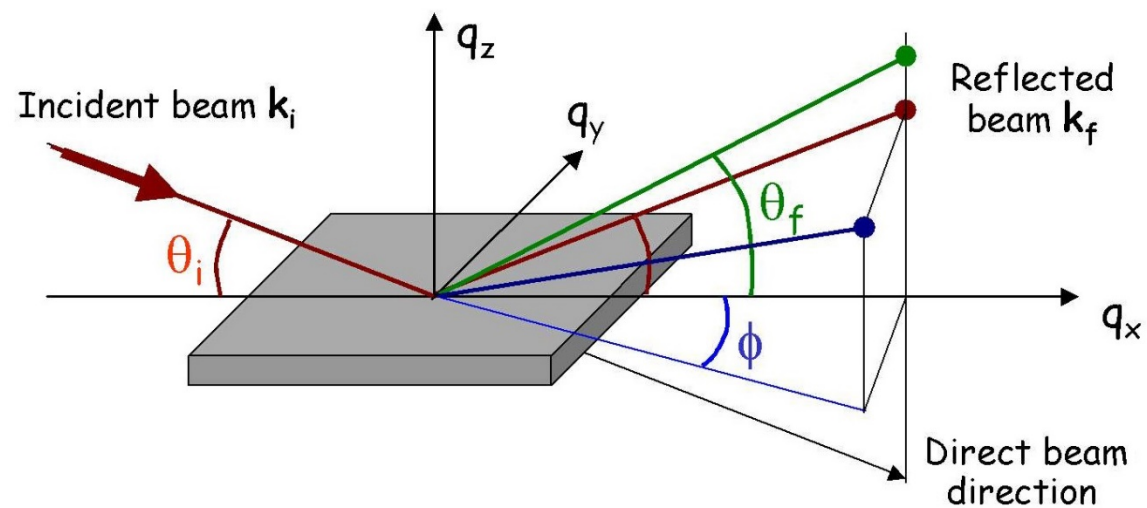
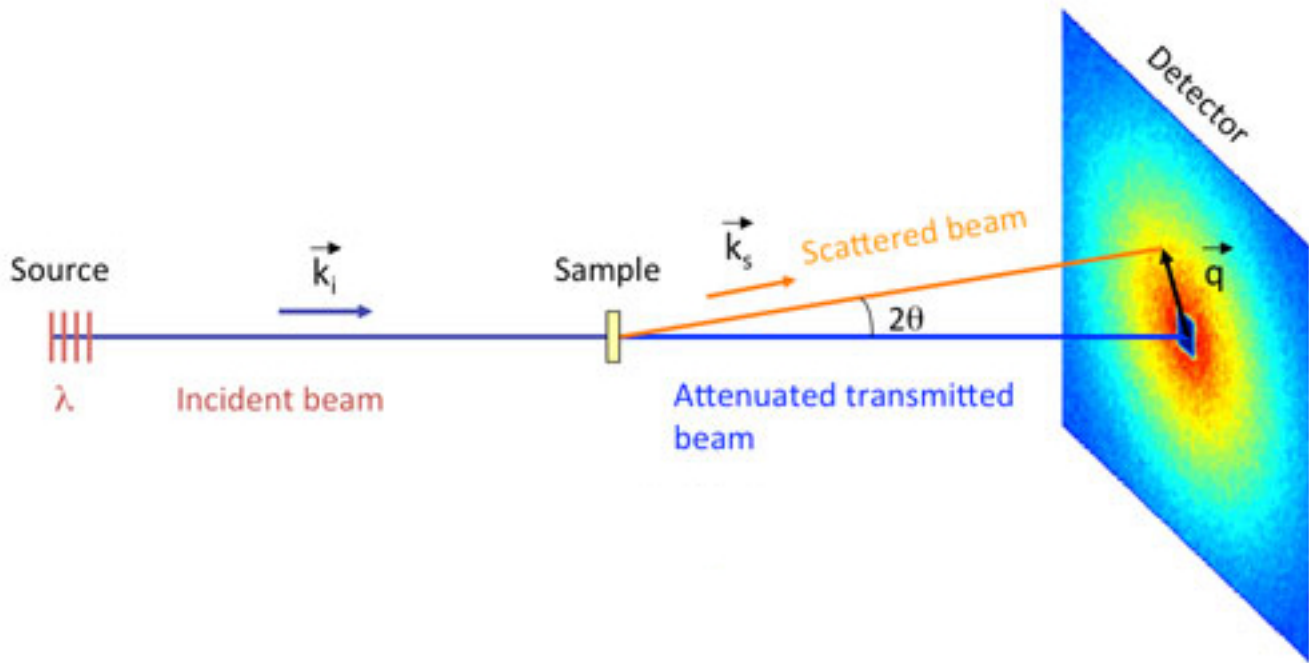
Department of Chemistry

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June 1st, 2015



Type	Technique	Length scale		Time scale	
		Reciprocal space ($Q/\text{\AA}^{-1}$)	Real space (r/ nm)	Energy space ($\Delta\omega/\mu\text{eV}$)	Time space (τ / ps)
Static scattering	Ultra-Small Angle Neutron Scattering (USANS)	$5 \times 10^{-6} \sim 0.005$	$100 \sim 10^5$	N/A	
	Small Angle Neutron Scattering (SANS)	$0.001 \sim 0.5$	$1 \sim 500$		
	Neutron Diffraction	$0.1 \sim 20$	$0.05 \sim 5$		
	Neutron Reflectometry	$0.001 \sim 0.5$	$1 \sim 500$		
Dynamic scattering	Neutron Spin Echo (NSE)	$0.01 \sim 0.5$	$1 \sim 50$	$0.01 \sim 100$	$10 \sim 10^5$
	Quasi-Elastic Neutron Scattering (QENS)	$0.1 \sim 10$	$0.05 \sim 5$	$1 \sim 100$	$0.1 \sim 10^3$
	Inelastic Neutron Scattering (INS)	$0.1 \sim 10$	$0.05 \sim 5$	$10 \sim 10^5$	$0.01 \sim 100$



$\lambda=0.1 \sim 10 \text{ \AA}$	Source	Measurement time	Sample size	Incident energy
Neutron	Reactor Spallation source	min ~ hour	cm, mL	meV
X-ray	Synchrotron	$\mu\text{s} \sim \text{ms}$	mm, μL	keV
	In-house	min ~ hour		

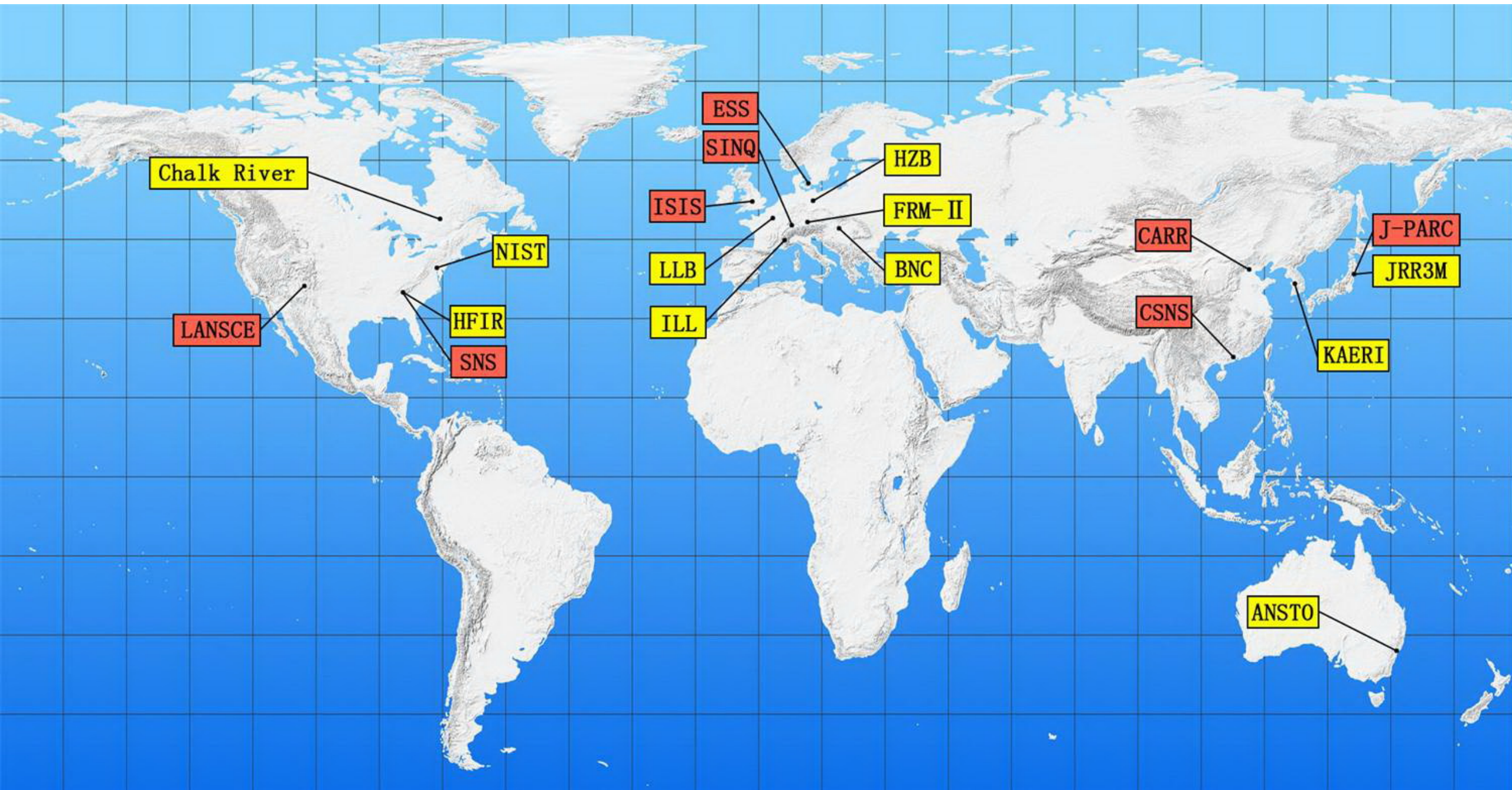
Advantages and Disadvantages of Scattering Techniques

Advantages:

1. Dynamical and structural information in several orders
2. Ensemble sampling
3. Non-destructive penetration
4. Contrast variation available
5. Sensitive to magnetic fields (neutron)

Disadvantages:

1. Inverse problem
2. Ensemble sampling
3. Radiation resistance (X-ray)
4. Sample amount
5. Beamtime accessibility (neutron)



Outline

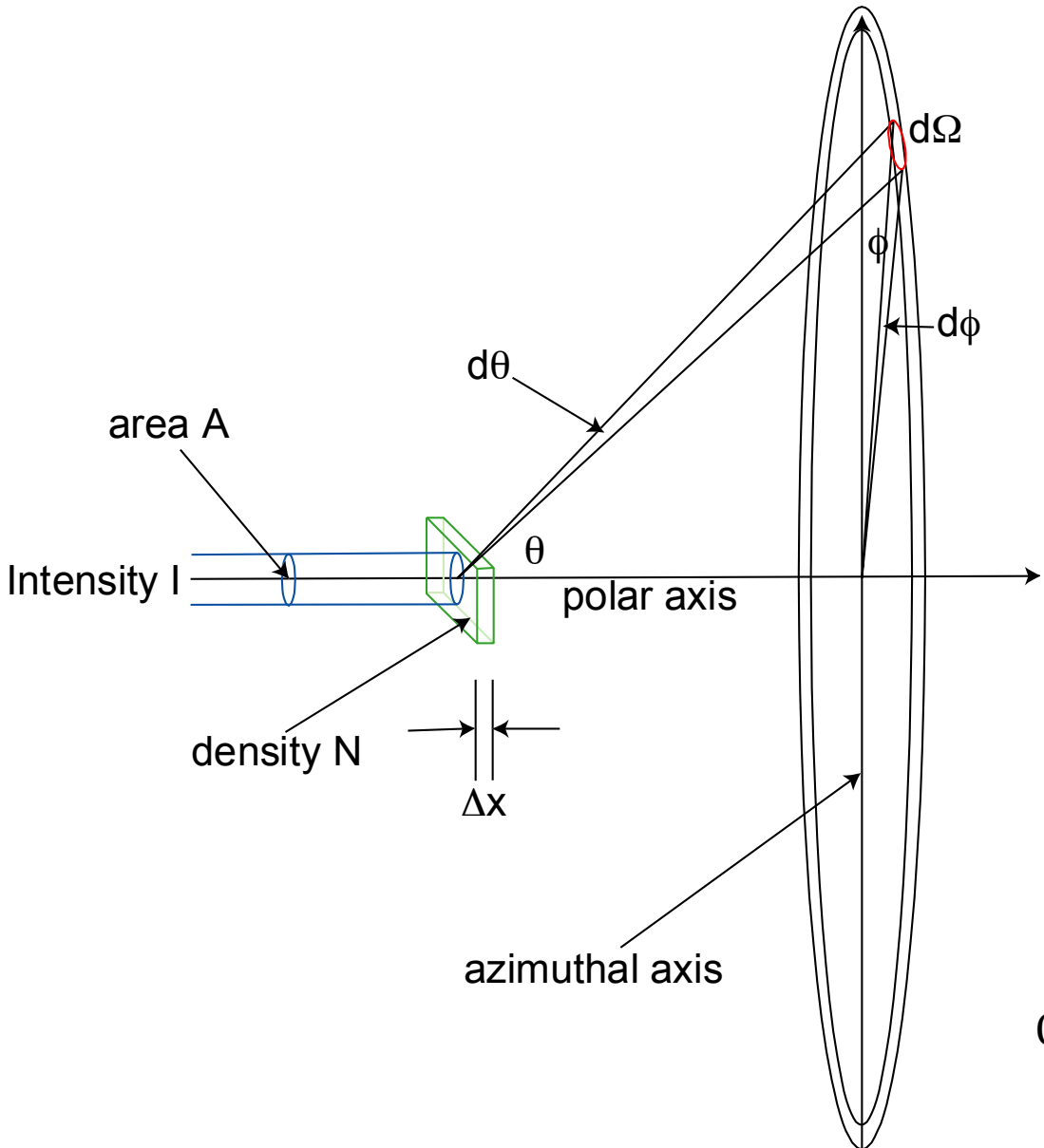
Basic concepts:

1. Scattering cross section
2. Scattering length and scattering length density
3. Coherent and incoherent scattering
4. Reciprocal space
5. Spatial and time correlation functions

Neutron diffraction:

1. Single crystal diffraction
2. Powder diffraction
3. Rietveld refinement method
4. Pair distribution function (PDF) method

Cross Section – Scattering Ability



Number of incident neutrons: I

Number of scattered neutrons: Θ

Number density of scatterers in the sample: N [L^{-3}]

Beam size: A [L^2]

Sample thickness: Δx [L]

Solid angle: Ω

Scattering probability:

$$\Theta/I \propto NA\Delta x/A = N\Delta x$$

$$\Theta/I = N\Delta x\sigma$$

$$1/I \frac{d\Theta}{d\Omega} = N\Delta x \frac{d\sigma}{d\Omega} = N\Delta x\sigma(\theta)$$

Cross Section and Scattering Length

$$\Theta/I = N\Delta x\sigma$$

$$1/I \frac{d\Theta}{d\Omega} = N\Delta x \frac{d\sigma}{d\Omega} = N\Delta x\sigma(\theta)$$

$\sigma [L^2]$ (microscopic cross section): describes the scattering ability of the material.

For neutrons scattered by the nuclei:

$$\sigma(\theta) = d\sigma/d\Omega = b^2$$

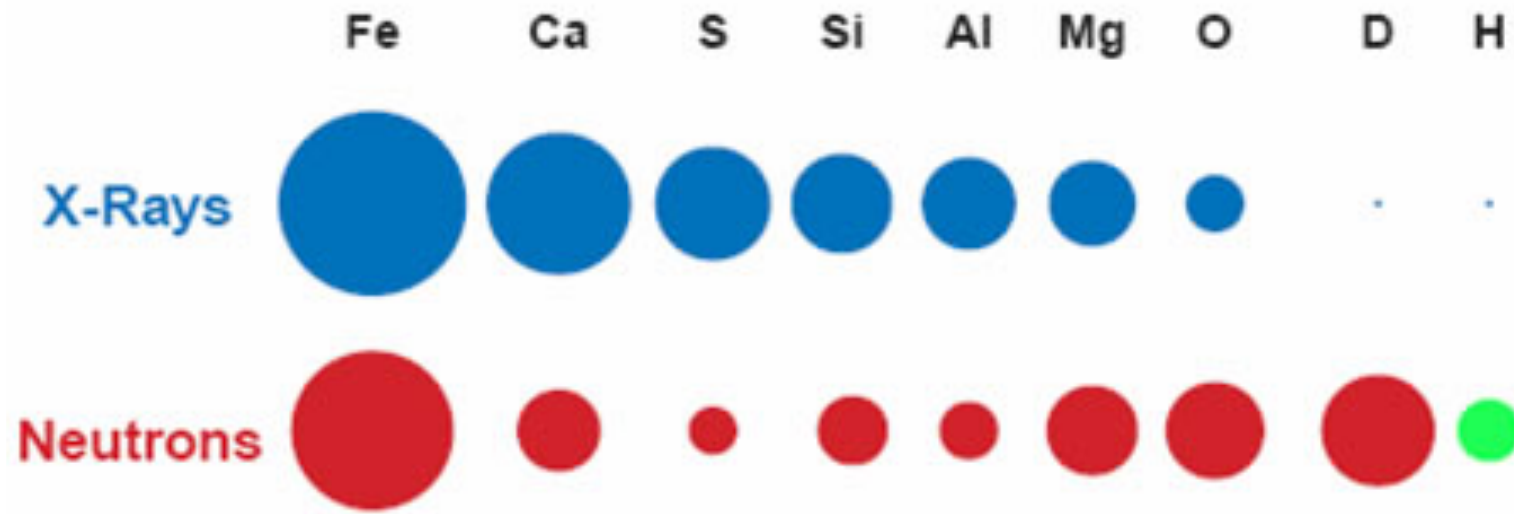
$b [L]$: constant, scattering length

$$\sigma = \int \sigma(\theta) d\Omega = 4\pi b^2$$

Units: σ : 1 barn = $10^{-24} \text{ cm}^2 = 10^{-28} \text{ m}^2$

b : 1 fm = $10^{-15} \text{ m} = 10^{-5} \text{ \AA}$

Cross Section and Scattering Length (cont'd)



1. X-ray sensitive to heavy atoms (high electron density)
2. Neutrons sensitive to light nuclei
3. Hydrogen: negative neutron scattering length (isotope substitution)
4. Chlorine and sulfur in the solvent strongly scatter X-ray
5. Boron: neutron absorption

Cross Section and Scattering Length (cont'd)

Example 1: scattering by 1mm thick water

Mass density: 0.99997 g/cm³

Cross section: H: 82.02 barn, O: 4.232 barn

$$T/I = 1 - \Theta/I = 1 - N \Delta x \sigma = 1 - N \Delta x (2\sigma_H + \sigma_O) = 1 - 0.99997 / 18.01528 \times 6.0221413 \times 10^{23} \times 0.1 \times (2 \times 82.02 + 4.232) \times 10^{-24} = \mathbf{0.4375}$$

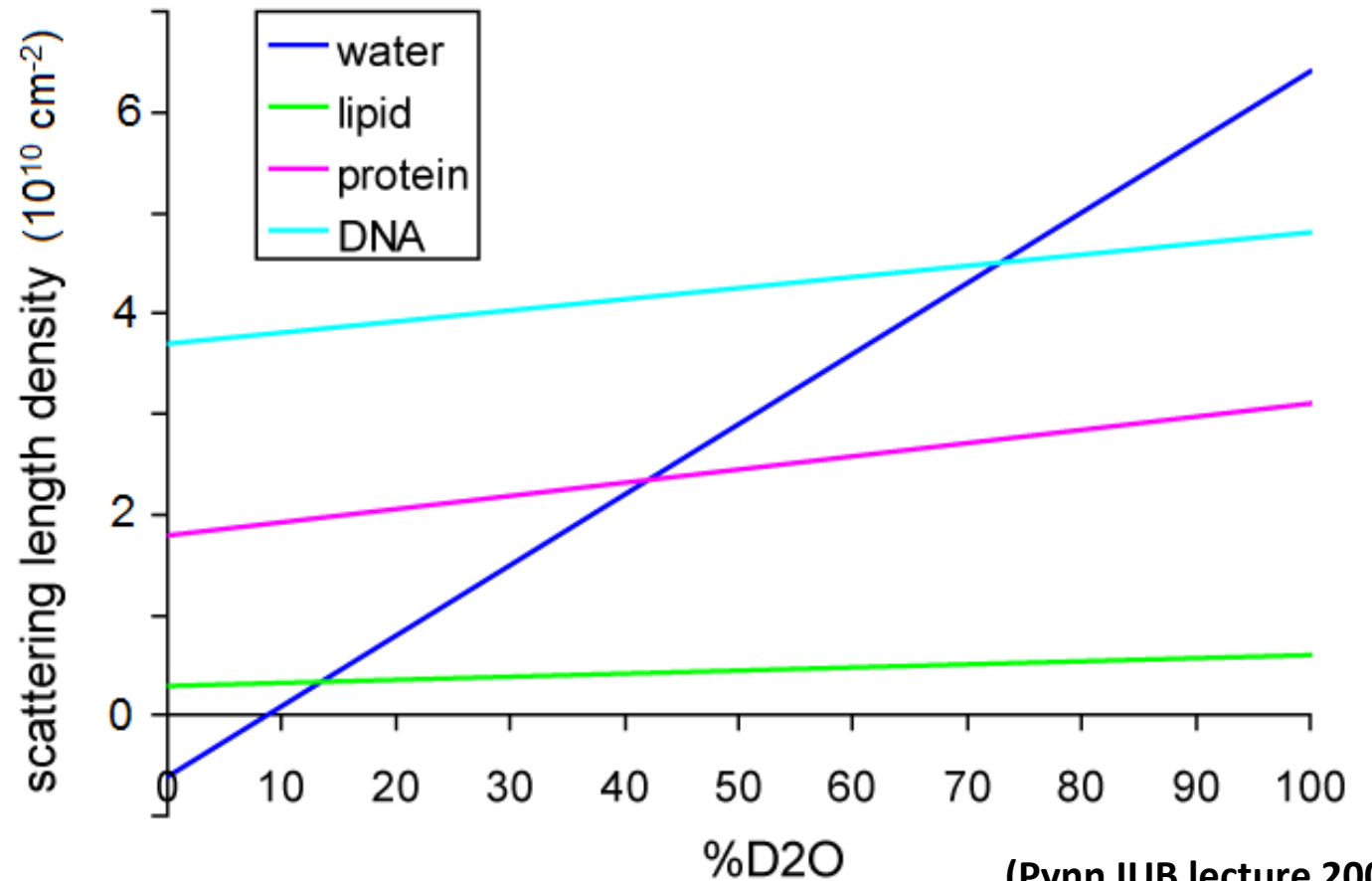
Scattering Length Density



Contrast comes from the scattering length density.

$$\rho = 1/V \sum_i f_i$$

Unit: $10^{-10} \text{ cm}^{-2} = 10^{-6} \text{ \AA}^{-2}$



Scattering Length Density (cont'd)

Example 2: scattering length density of water

Mass density: 0.99997 g/cm³

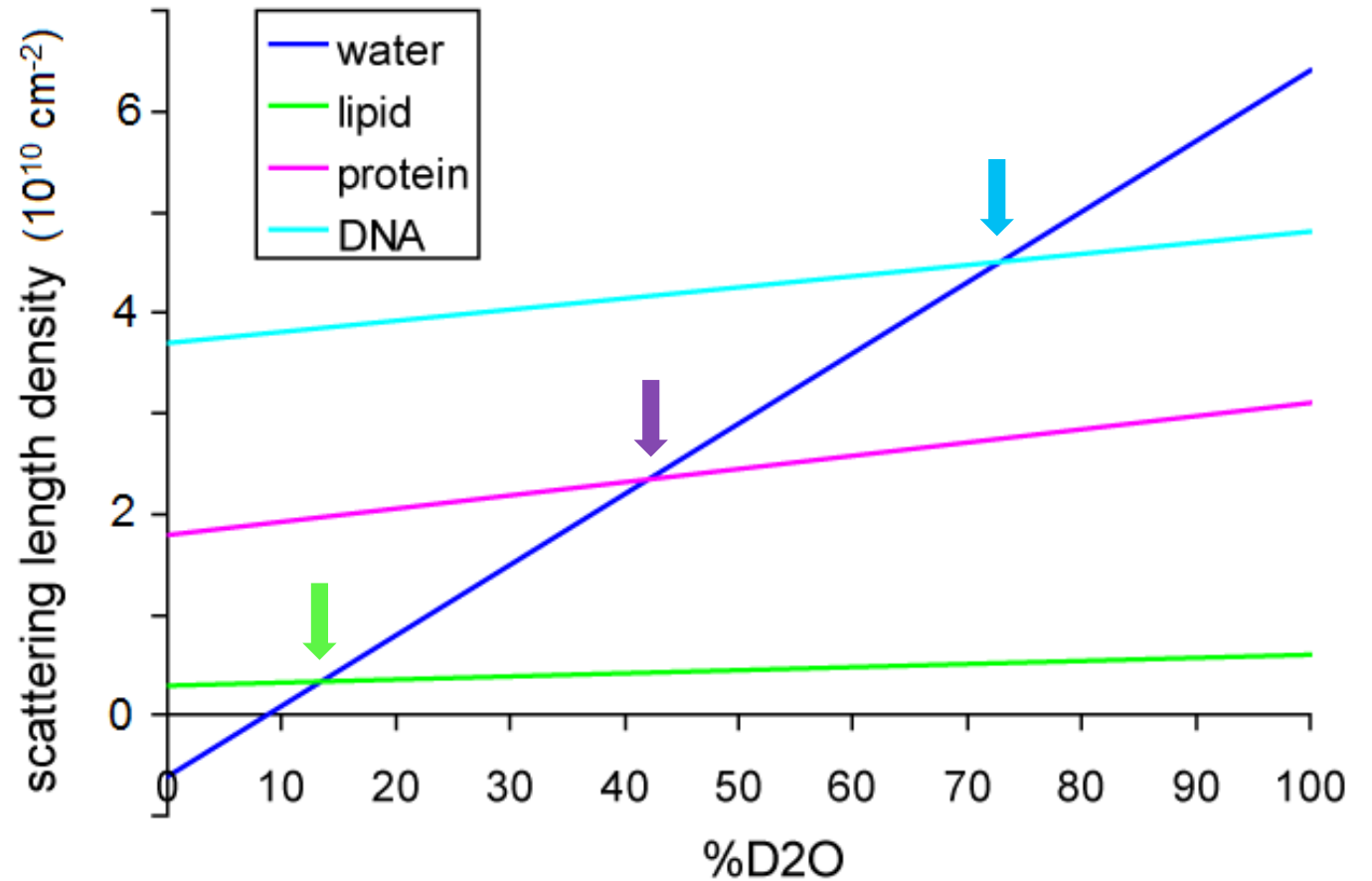
Scattering length: H: -3.7423 fm, O: 5.805 fm

$$\rho_{\text{H}_2\text{O}} = \text{mass density} / \text{molecular weight} \times N_A \sum_i b_i = \text{mass density} / \text{molecular weight} \times N_A (2b_{\text{H}} + b_{\text{O}}) =$$
$$0.99997 / 18.01528 \times 6.0221413 \times 10^{23} \times 1 / 10^{24} \times (-2 \times 3.7423 + 5.805) \times 10^{-5} = -\mathbf{0.5614 \times 10^{-6}}$$

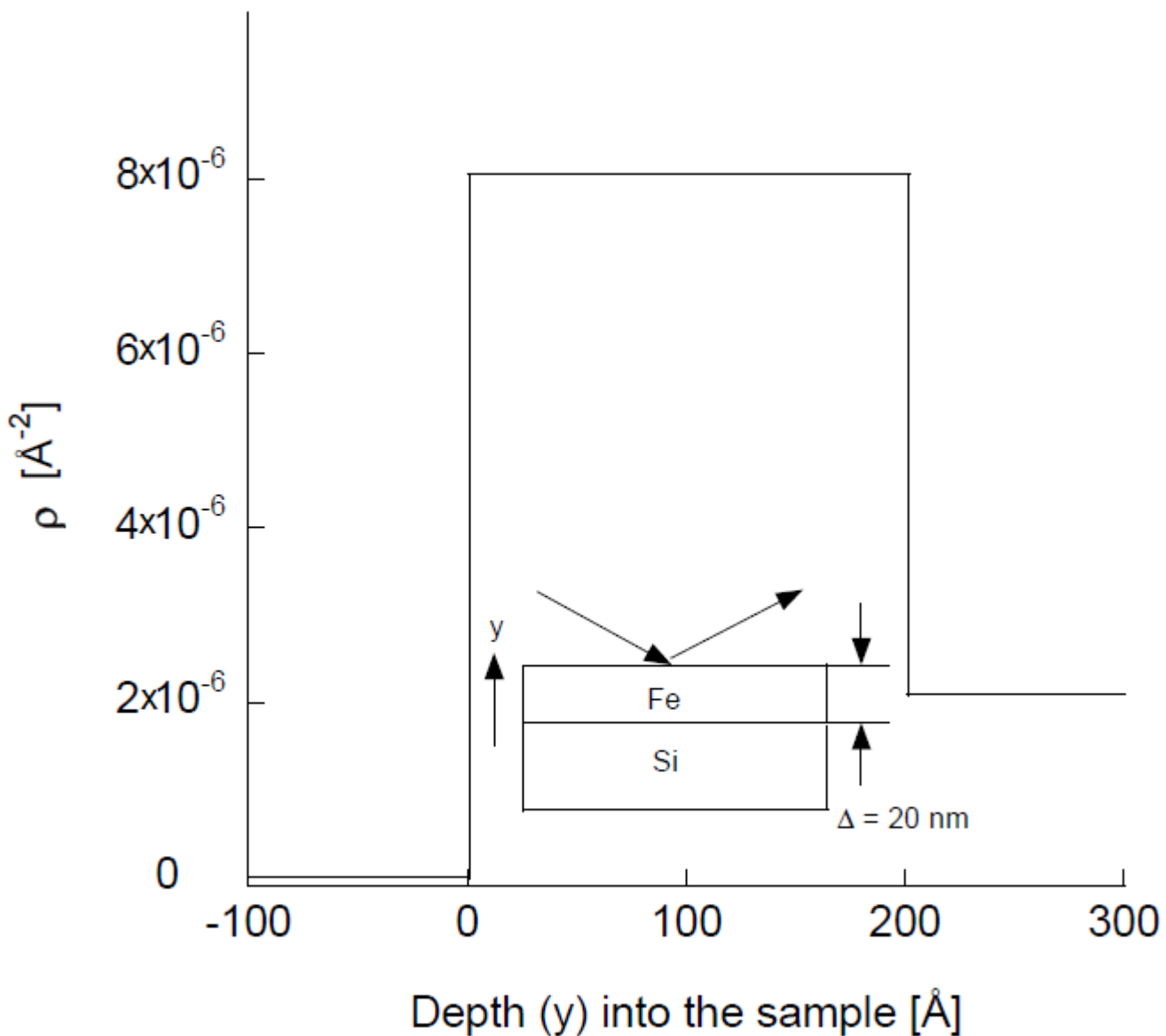
(Å⁻²)

Scattering Length Density (cont'd)

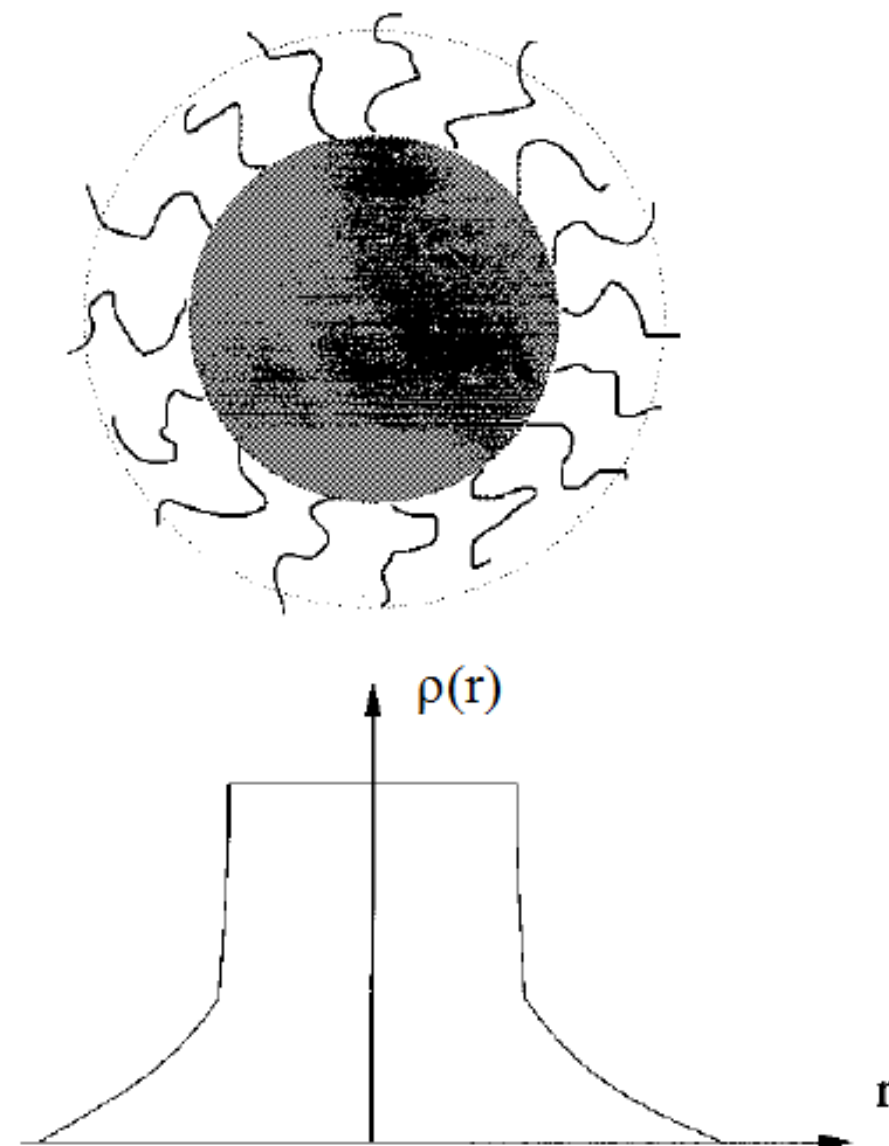
materials	SLD (10^{-6} \AA^{-2})
H ₂ O	-0.56
D ₂ O	6.39
h-styrene	1.413
d-styrene	6.5
h-cyclohexane	-0.24
d-cyclohexane	6.01
SiO ₂	4.186



Scattering Length Density Profile



Fitzsimmons lecture 2005)



(S.-H. Chen Macromolecules 1998)

Coherent and Incoherent Scattering

The neutron scattering length depends on the nuclear isotope, spin relative to the neutron, and nuclear eigenstate.

For a single nucleus of a species,

$$b_{\downarrow i} = \langle b \rangle + \delta b_{\downarrow i} \quad \text{where} \quad \langle \delta b_{\downarrow i} \rangle = 0$$

For the correlation between two nuclei,

$$b_{\downarrow i} b_{\downarrow j} = \langle b \rangle^2 + (\delta b_{\downarrow i} + \delta b_{\downarrow j}) \langle b \rangle + \delta b_{\downarrow i} \delta b_{\downarrow j}$$

Average over the whole group of nuclei,

$$\langle \delta b_{\downarrow i} + \delta b_{\downarrow j} \rangle = 0$$

$$\langle \delta b_{\downarrow i} \delta b_{\downarrow j} \rangle = \begin{cases} 0 & (i \neq j) \\ \langle (\delta b_{\downarrow i})^2 \rangle = \langle b^2 \rangle - \langle b \rangle^2 & (i = j) \end{cases}$$

Coherent and Incoherent Scattering (cont'd)

For the correlation between two nuclei,

$$b_{\downarrow i} b_{\downarrow j} = \langle b \rangle^2 + \delta b_{\downarrow i} \delta b_{\downarrow j}$$

Therefore, the correlation between all nuclei,

$$d\sigma/d\Omega = \sum_{i,j=1}^N b_{\downarrow i} b_{\downarrow j} e^{-i\mathbf{Q} \cdot (\mathbf{R}_{\downarrow i} - \mathbf{R}_{\downarrow j})} = \langle b \rangle^2 \sum_{i,j=1}^N e^{-i\mathbf{Q} \cdot (\mathbf{R}_{\downarrow i} - \mathbf{R}_{\downarrow j})} + N(\langle b^2 \rangle - \langle b \rangle^2)$$

Coherent scattering



Correlation between
relative spatial positions

Incoherent scattering



Individual scattering
contribution

Coherent and Incoherent Scattering (cont'd)

$$d\sigma/d\Omega = \langle b \rangle^2 \sum_{i,j=1}^N e^{-i\mathbf{Q} \cdot (\mathbf{R}_i - \mathbf{R}_j)} + N(\langle b^2 \rangle - \langle b \rangle^2)$$

Coherent scattering



Correlation between relative spatial positions



Incoherent scattering



Individual scattering contribution



Coherent and Incoherent Scattering (cont'd)

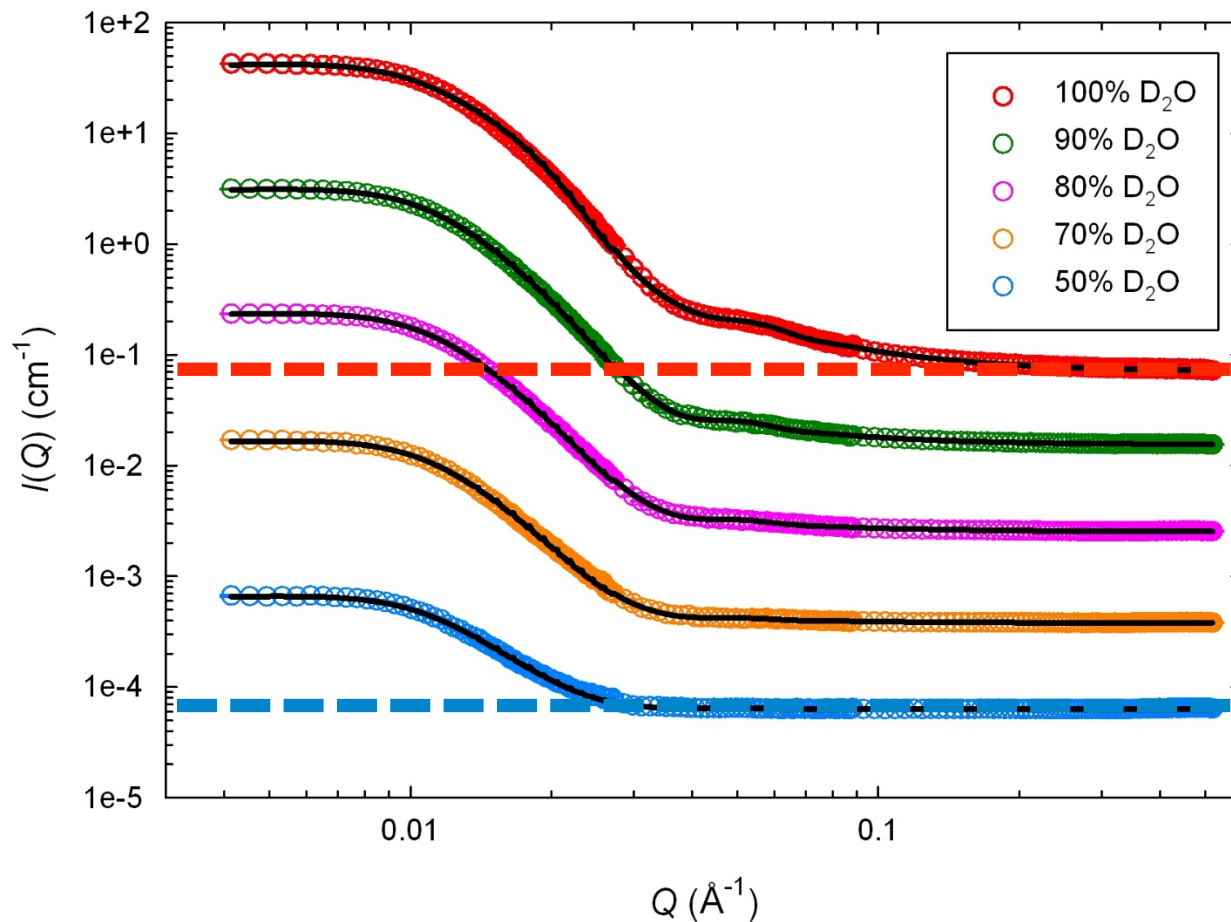
$$\langle b \rangle^2$$

Coherent scattering cross section

$$\langle b \rangle^2 - \langle b \rangle^2$$

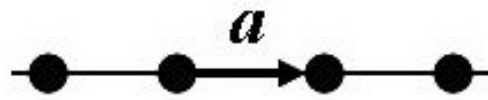
Incoherent scattering cross section

Nuclide	b_{coh} (fm)	σ_{coh} (barn)	σ_{inc} (barn)
H	-3.472	1.8	80.2
D	6.674	5.6	2
C	6.65	5.55	0.001
O	5.805	4.2	0.0008
V	-0.443	0.02	5

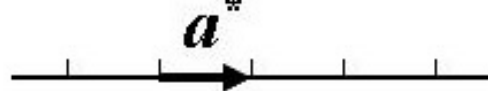


Reciprocal Space – Spatial Frequency

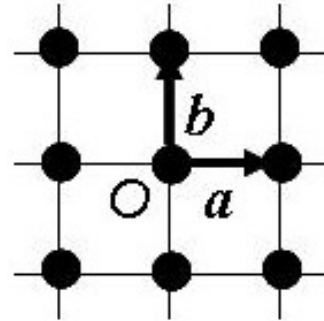
Real lattice



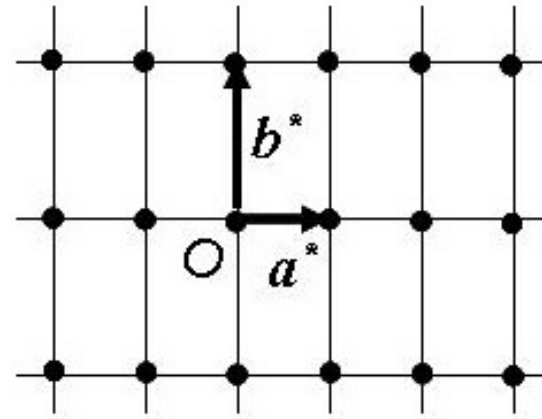
Reciprocal lattice



(a) 1D

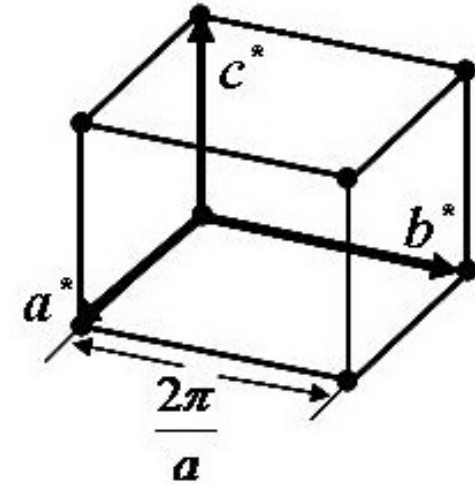


Real lattice

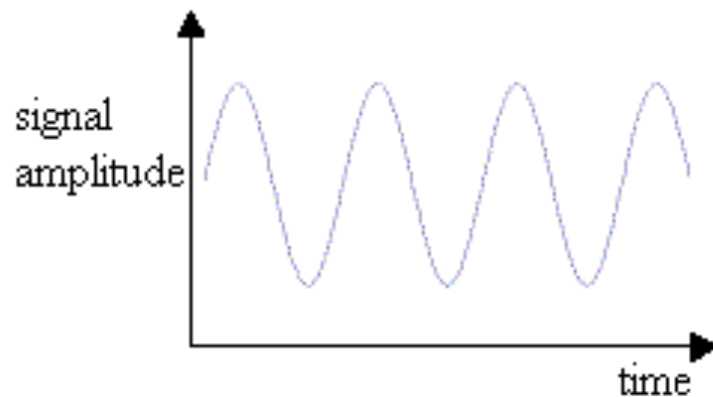


Reciprocal lattice

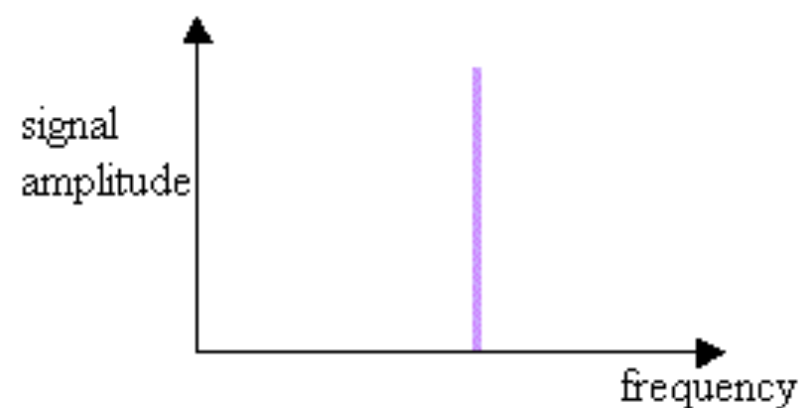
(b) 2D



(c) 3D

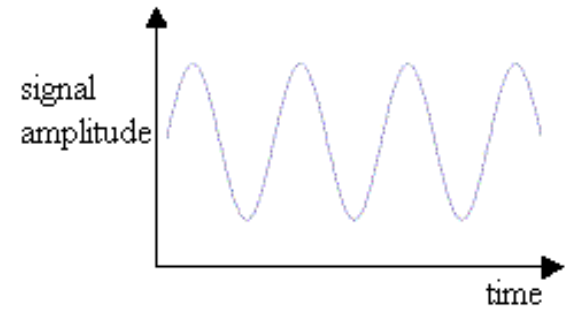


Time domain

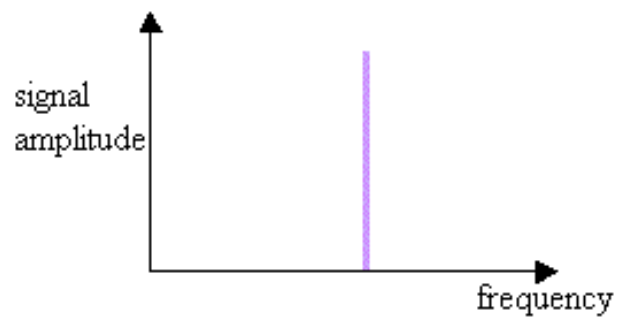


Frequency domain

Reciprocal Space – Spatial Frequency (cont'd)



Time domain



Frequency domain

Time space shape:

$$f(t)$$

Frequency space shape:

$$F(\omega)$$

$$\mathcal{F}[f(t)] = F(\omega)$$

$$\mathcal{F}^{-1}[F(\omega)] = f(t)$$

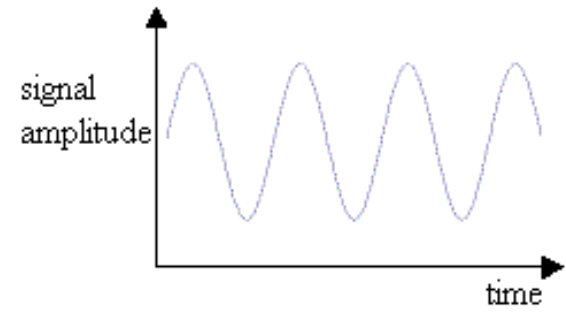
$$\omega T = 2\pi$$

Fourier transform:

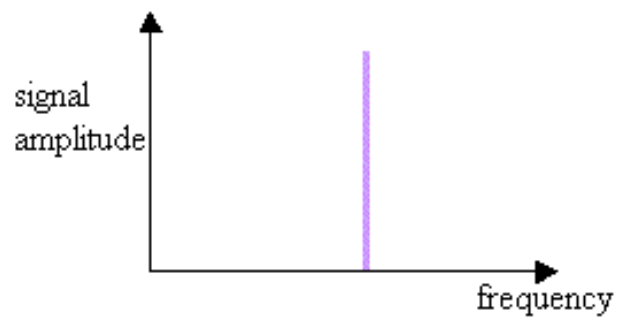
$$\mathcal{F}[f(t)] = \int_{-\infty}^{+\infty} f(t) e^{-i\omega t} dt = F(\omega)$$

$$\mathcal{F}[f(r)] = \int_V f(r) e^{-ir \cdot Q} d^3 r = F(Q)$$

Reciprocal Space – Spatial Frequency (cont'd)



Time domain



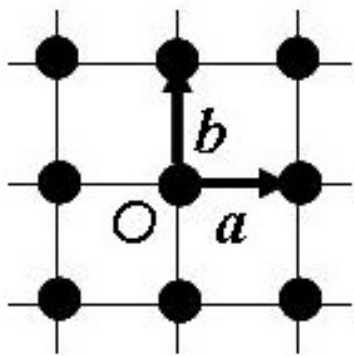
Frequency domain

Time space shape: $f(t)$
 Frequency space spectrum: $F(\omega)$

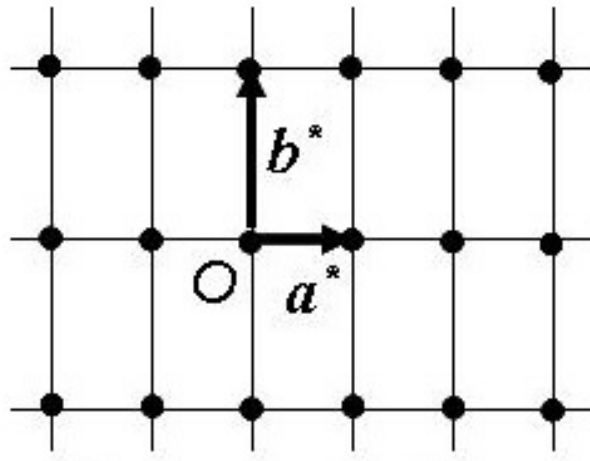
$$\mathcal{F}[f(t)] = F(\omega)$$

$$\mathcal{F}^{-1}[F(\omega)] = f(t)$$

$$\omega T = 2\pi$$



Real lattice



Reciprocal lattice

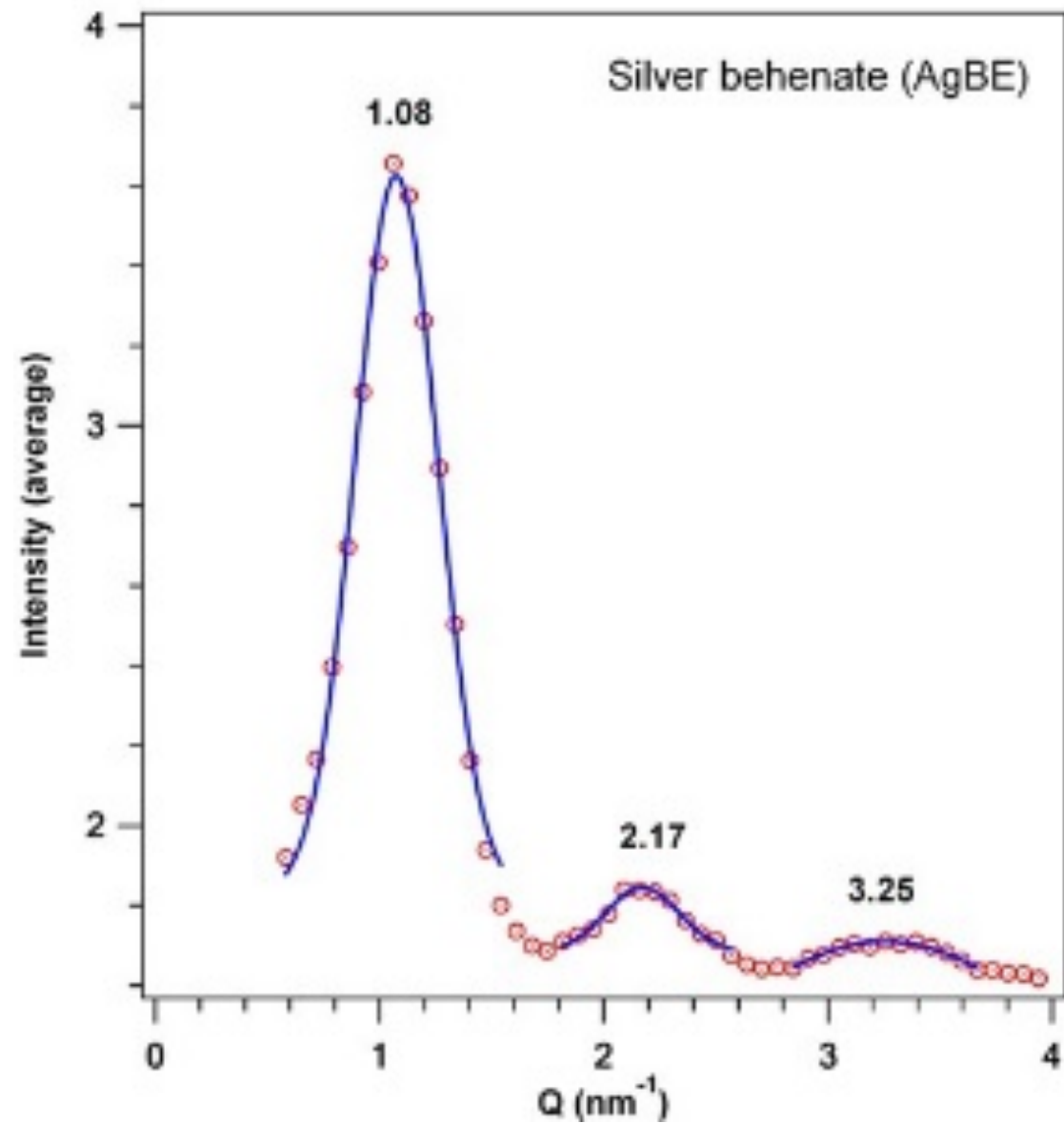
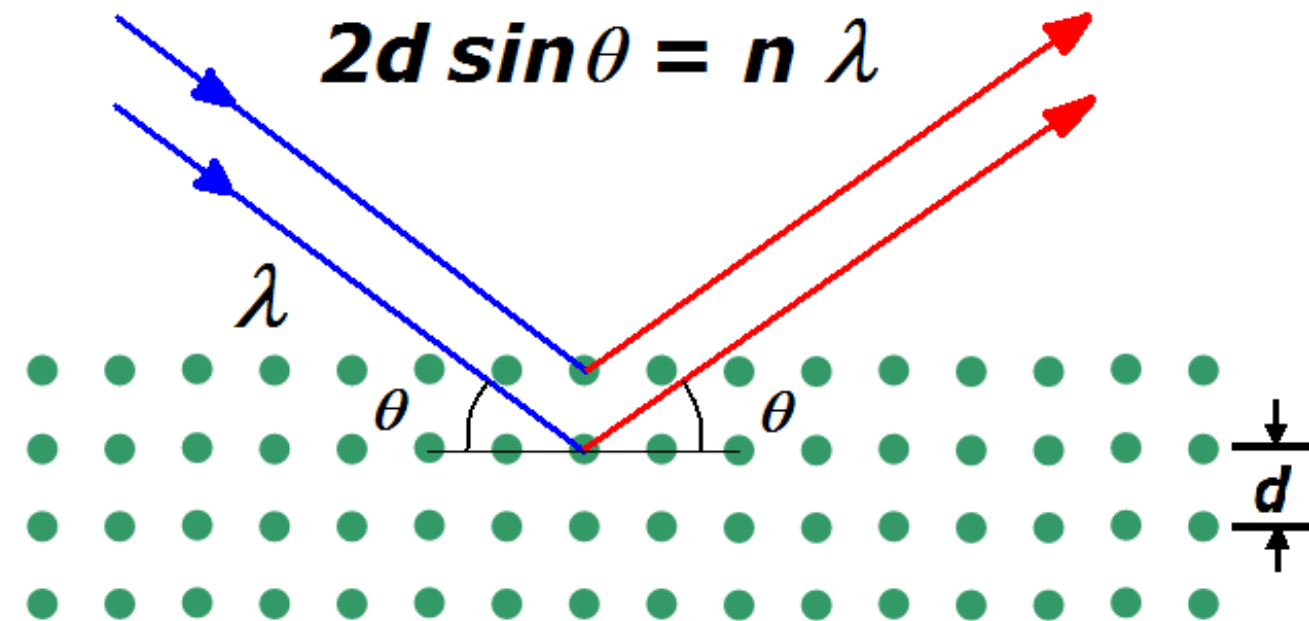
Real space distribution: $f(r)$
 Reciprocal space spectrum: $F(Q)$

$$\mathcal{F}[f(r)] = F(Q)$$

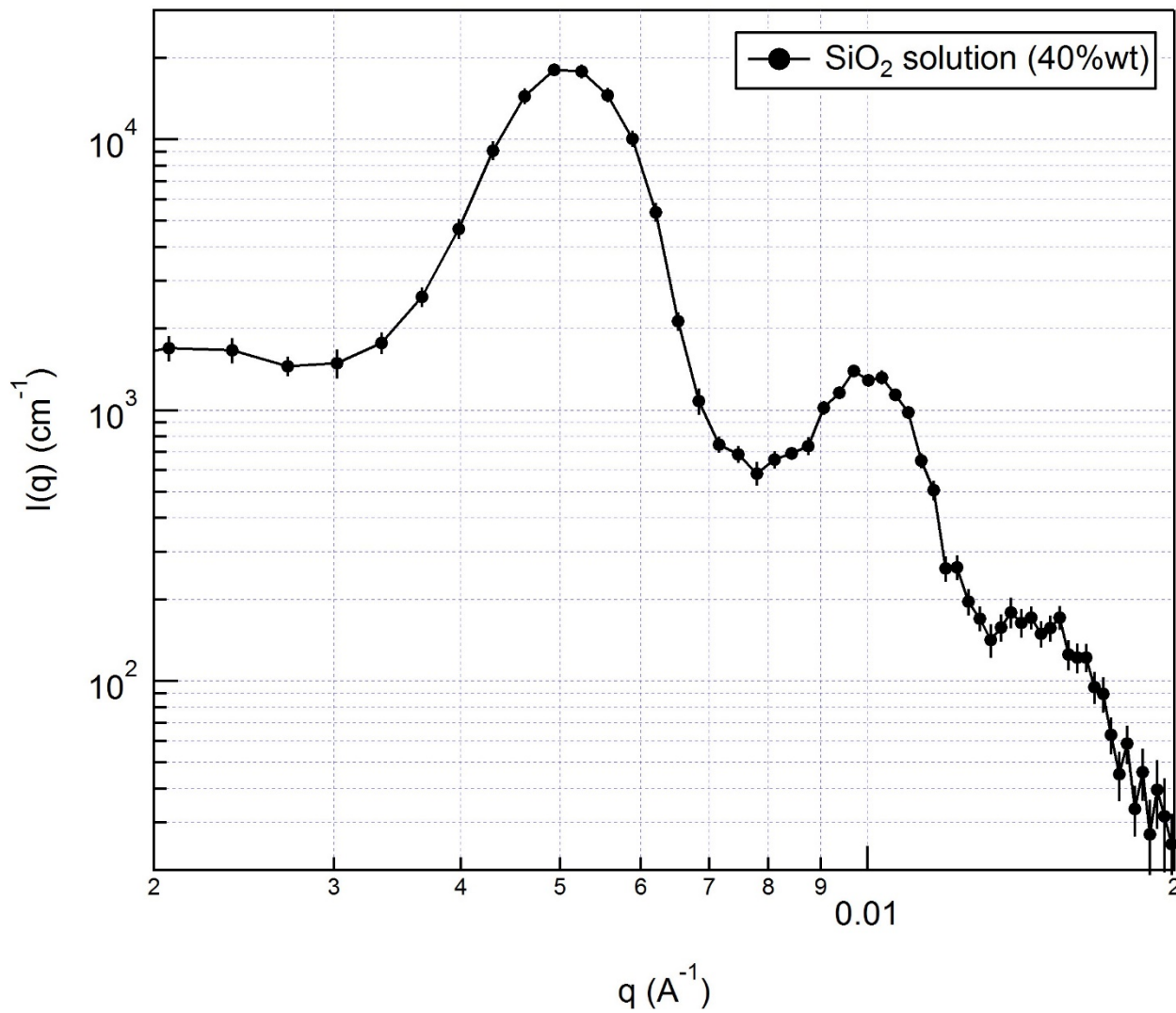
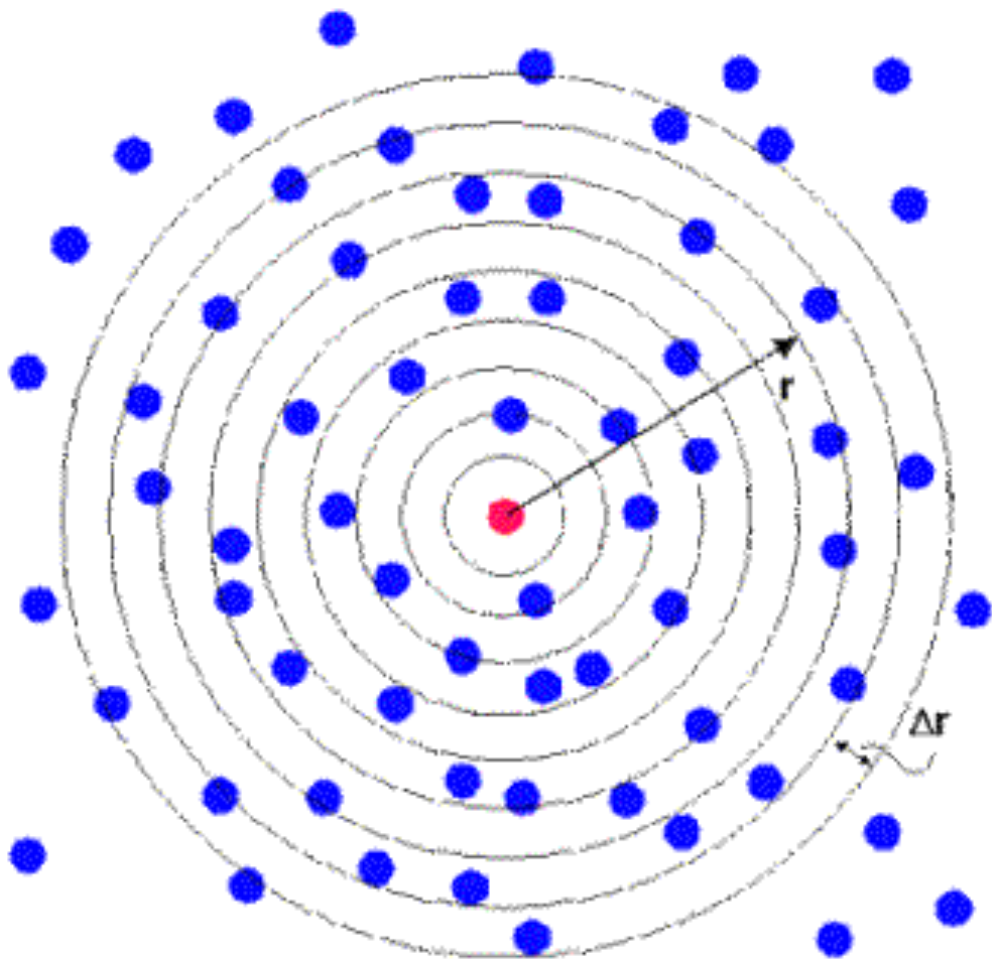
$$\mathcal{F}^{-1}[F(Q)] = f(r)$$

$$Qd = 2\pi$$

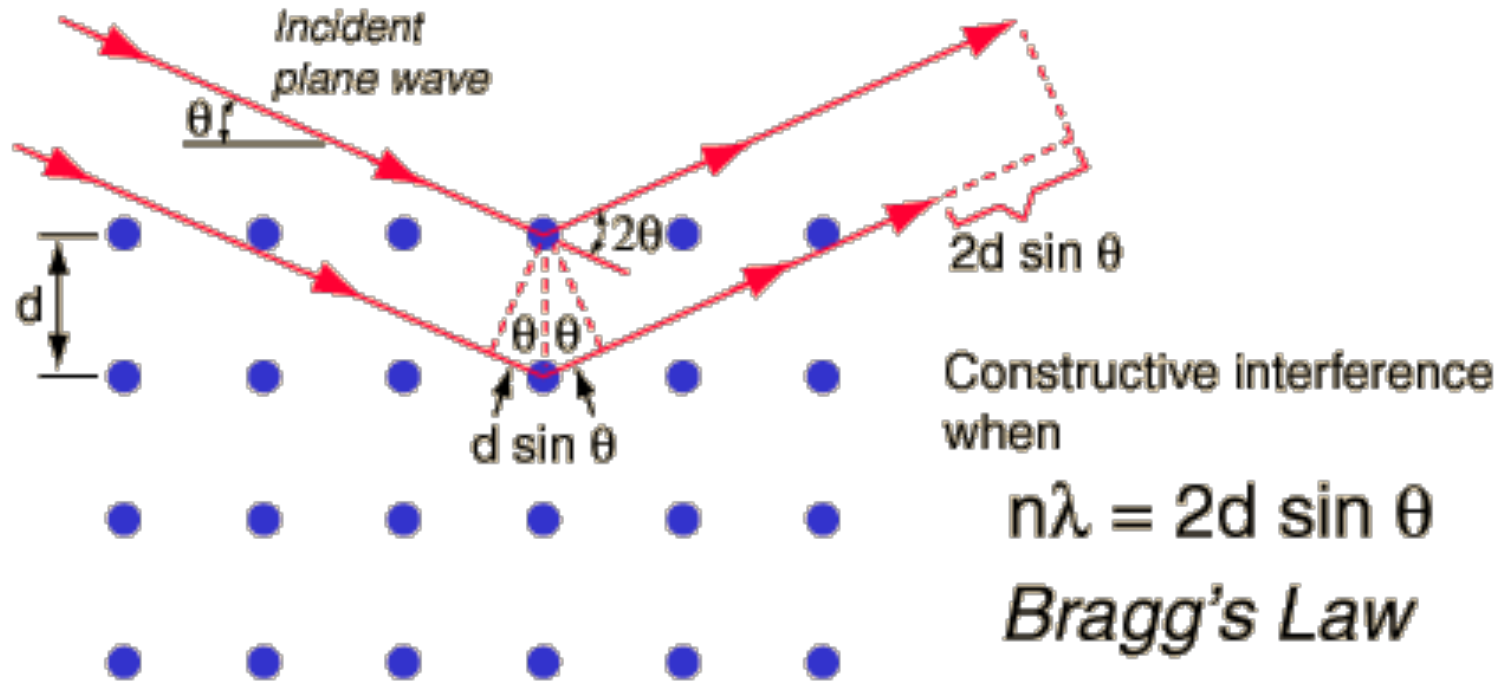
Reciprocal Space – Spatial Frequency (cont'd)



Reciprocal Space – Spatial Frequency (cont'd)

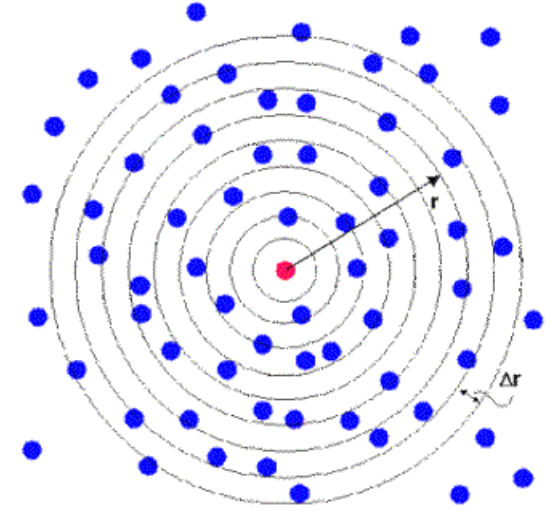
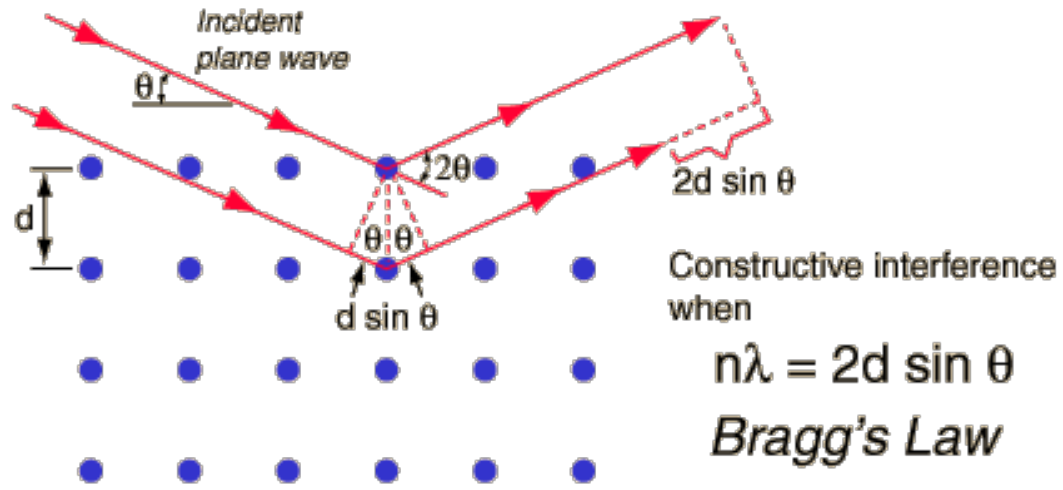


Correlation Functions



Neutron/X-ray/light scattering measures different **mathematical transforms** (Fourier, Abel) of **two-point correlation functions** (Debye, van Hove) in different **spaces** (r/Q , t/ω) and different **time or length scales** (λ , 2θ).

Correlation Functions (cont'd)



Interference between two scattered waves:

$$\Psi_{\downarrow i} \Psi_{\downarrow j}^* = b_{\downarrow i} b_{\downarrow j} e^{iQ \cdot (R_{\downarrow i} - R_{\downarrow j})}$$

Sum over all scatterers:

$$d\sigma/d\Omega = \sum_{i,j=1}^N \Psi_{\downarrow i} \Psi_{\downarrow j}^* = \sum_{i,j=1}^N b_{\downarrow i} b_{\downarrow j} e^{iQ \cdot (R_{\downarrow i} - R_{\downarrow j})}$$

Correlation Functions (cont'd)

$$d\sigma/d\Omega = \sum_{i,j=1}^N \Psi_{\downarrow i} \Psi_{\downarrow j}^* = \sum_{i,j=1}^N b_{\downarrow i} b_{\downarrow j} e^{iQ \cdot (R_{\downarrow i} - R_{\downarrow j})}$$

Debye correlation function (structure)

$$\gamma(r) = \int_V \rho(r') \rho(r' + r) d^3 r'$$

van Hove pair correlation function (dynamic)

$$G(r, t) = 1/N \sum_{i,j=1}^N \delta(r - r_{\downarrow i}(t) + r_{\downarrow j}(0))$$

Pair distribution function (structure)

$$g(r) = V/N^2 \sum_{i,j=1}^N \delta(r - r_{\downarrow i} + r_{\downarrow j})$$

Self time correlation function (dynamic)

$$G_s(r, t) = 1/N \sum_{i=1}^N \delta(r_{\downarrow i}(0)) \delta(r - r_{\downarrow i}(t))$$

Correlation Functions (cont'd)

Debye correlation function (structure)

$$\gamma(r) = \int V \rho(r') \rho(r' + r) d^3 r'$$

$$I(Q) = \mathcal{F}[\gamma(r)] \quad (\text{SANS, USANS, ND, NR})$$

$$G(z) = \mathcal{A}[\gamma(r)] \quad (\text{SESANS})$$

Pair distribution function (structure)

$$g(r) = V/N^2 \sum_{i,j=1}^N \delta(r - r_i + r_j)$$

$$S(Q) = \mathcal{F}[g(r)] \quad (\text{SANS, USANS})$$

van Hove pair correlation function (dynamic)

$$G(r, t) = 1/N \sum_{i,j=1}^N \delta(r - r_i(t) + r_j(0))$$

$$I(Q, t) = \mathcal{F}_Q[G(r, t)] \quad (\text{NSE})$$

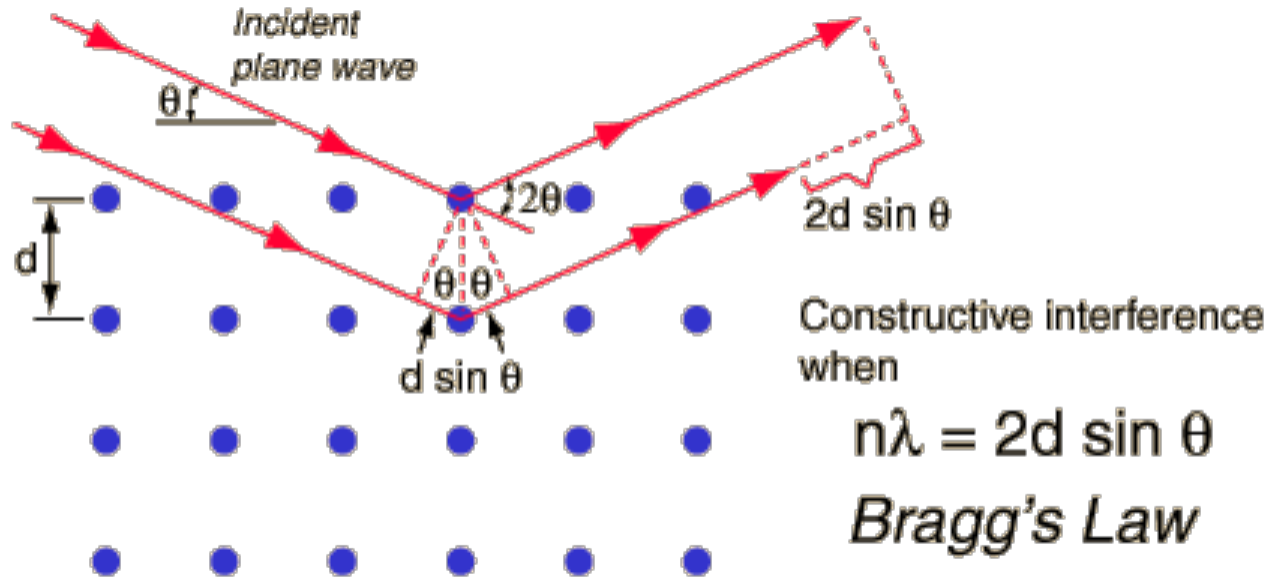
$$S(Q, \omega) = \mathcal{F}_{Q, \omega}[G(r, t)] \quad (\text{INS})$$

Self time correlation function (dynamic)

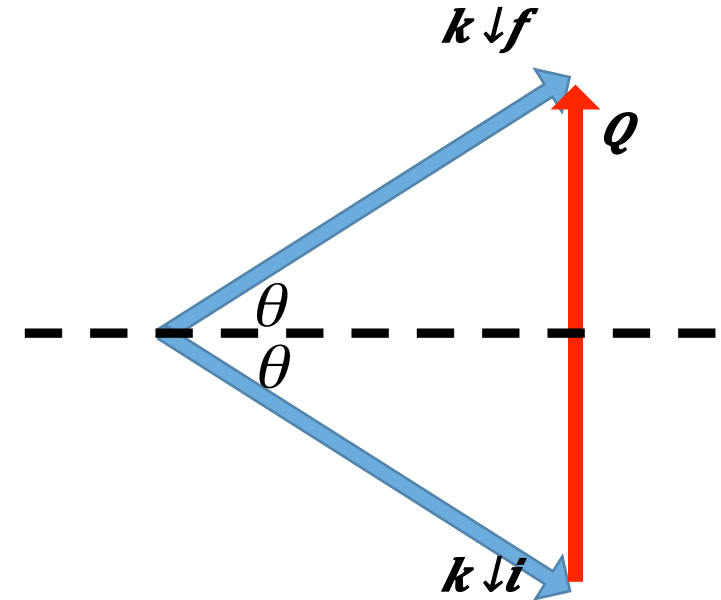
$$G_{ls}(r, t) = 1/N \sum_{i=1}^N \delta(r - r_i(0)) \delta(r - r_i(t))$$

$$S_{ls}(Q, \omega) = \mathcal{F}_{Q, \omega}[G_{ls}(r, t)] \quad (\text{QENS, incoh})$$

Neutron Diffraction



Scattering triangle



Q : Momentum transfer

$$Q = k \downarrow f - k \downarrow i$$

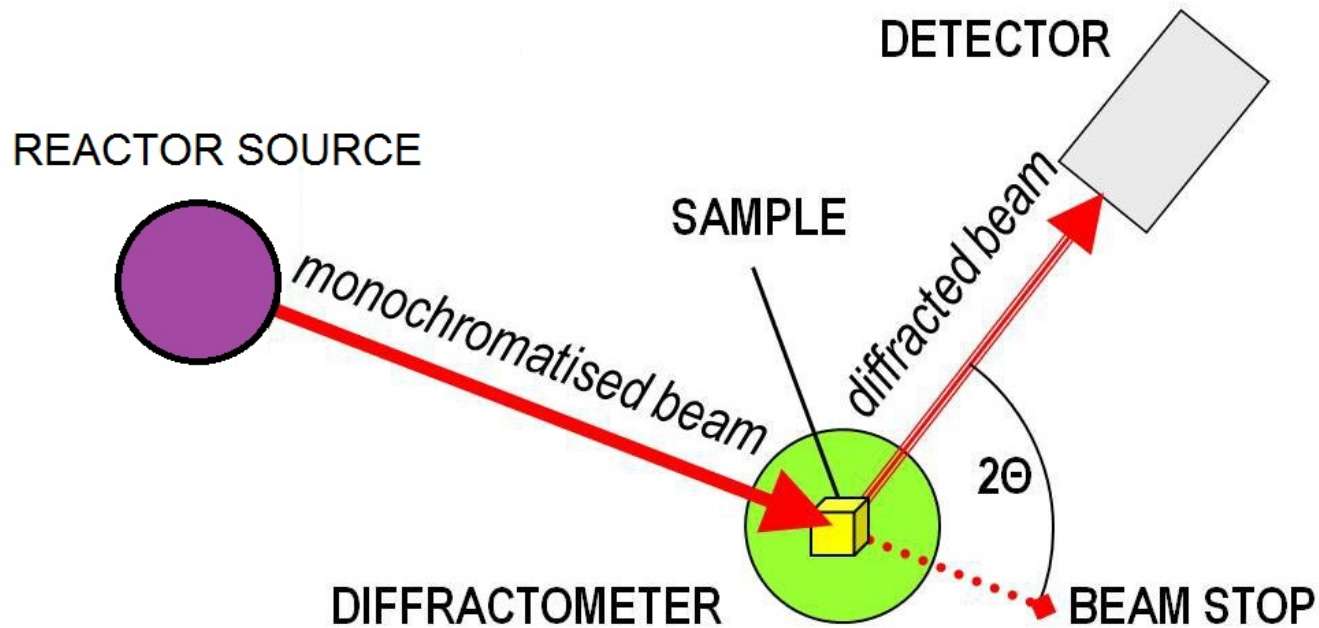
$$|k \downarrow f| = |k \downarrow i| = 2\pi/\lambda$$

$$\therefore Q = |Q| = 2|k \downarrow i| \sin \theta = 4\pi/\lambda \sin \theta$$

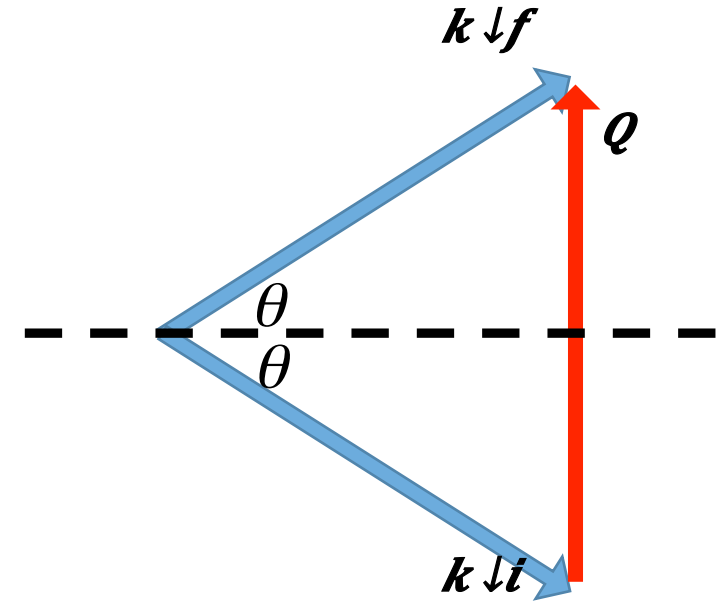
$$Qd = 2n\pi$$

Neutron Diffraction (cont'd)

Two axis diffractometer

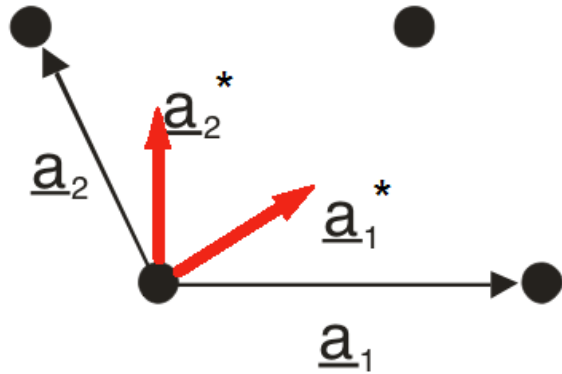


Scattering triangle



Diffraction – Where the atoms are:
Clifford Shull, 1994 Nobel Prize (1/2)

Notations



Crystal lattice

$$R = m_1 \underline{a}_1 + m_2 \underline{a}_2 + m_3 \underline{a}_3$$

Reciprocal lattice

$$G_{hkl} = h \underline{a}_1^* + k \underline{a}_2^* + l \underline{a}_3^*$$

Miller indices

h, k, l

$$\underline{a}_1^* = 2\pi/V \underline{a}_2 \times \underline{a}_3$$

$$\underline{a}_2^* = 2\pi/V \underline{a}_3 \times \underline{a}_1$$

$$\underline{a}_3^* = 2\pi/V \underline{a}_1 \times \underline{a}_2$$

$$V = \underline{a}_1 \cdot (\underline{a}_2 \times \underline{a}_3)$$

(hkl) : a set of planes perpendicular to G_{hkl} , separated by $2\pi/|G_{hkl}|$

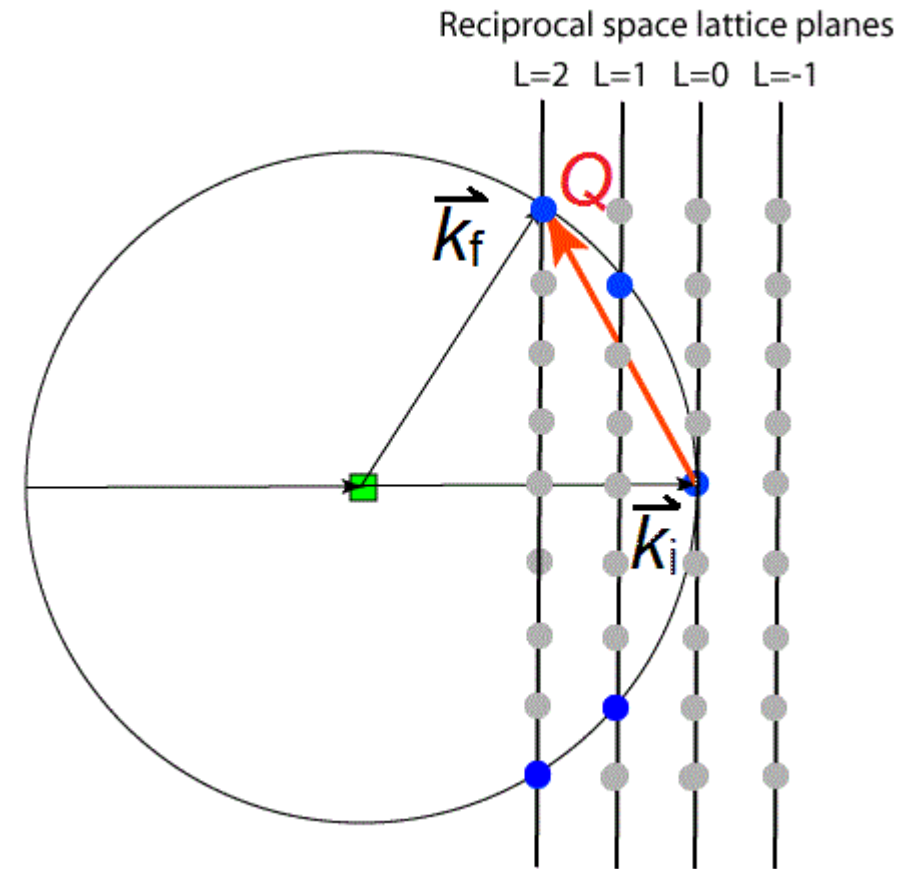
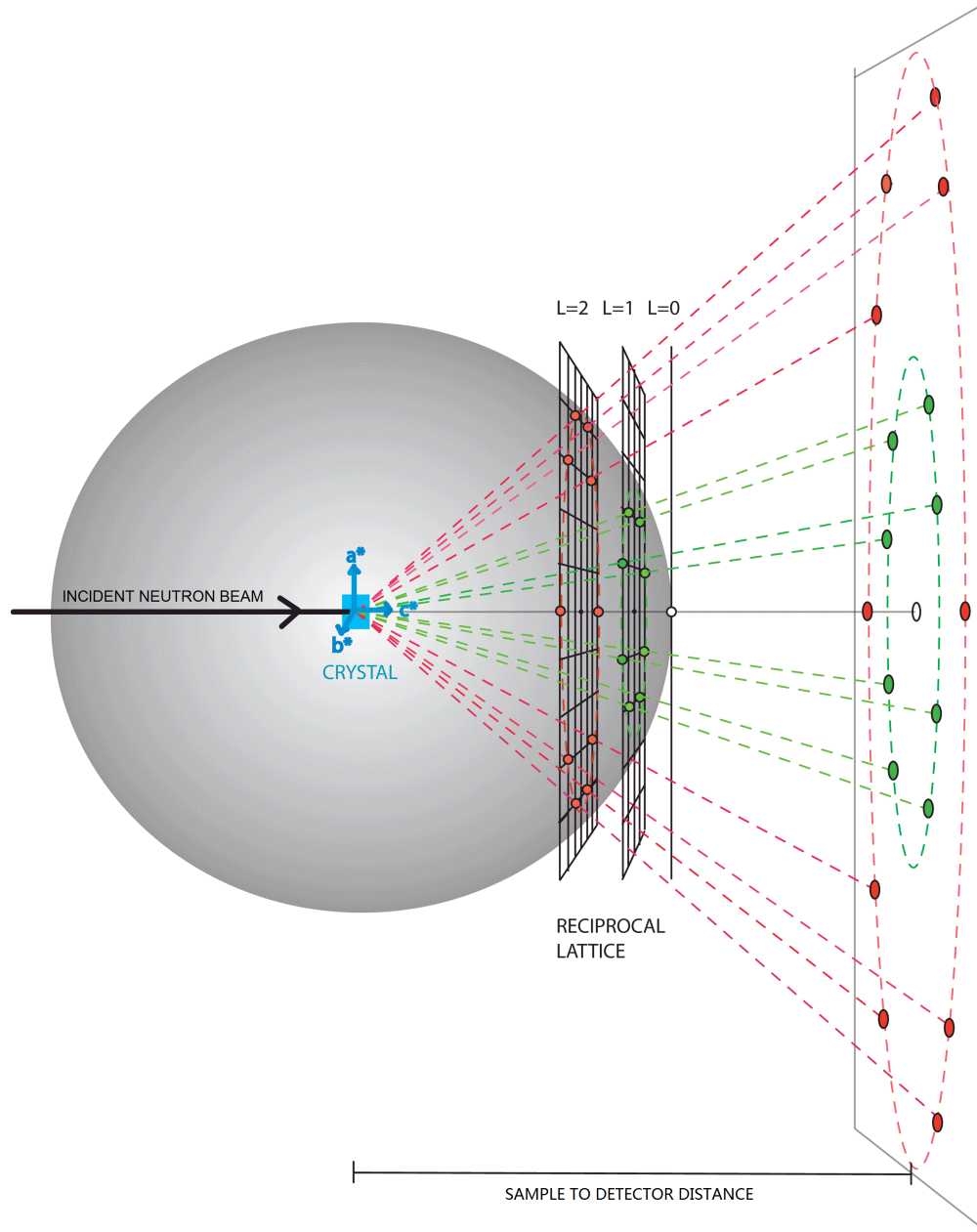
$[hkl]$: a specific crystallographic direction

$\{hkl\}$: a set of symmetry-related lattice planes

$\langle hkl \rangle$: a set of symmetry-equivalent crystallographic directions

$$\underline{a}_i \cdot \underline{a}_j^* = 2\pi \delta_{ij}$$

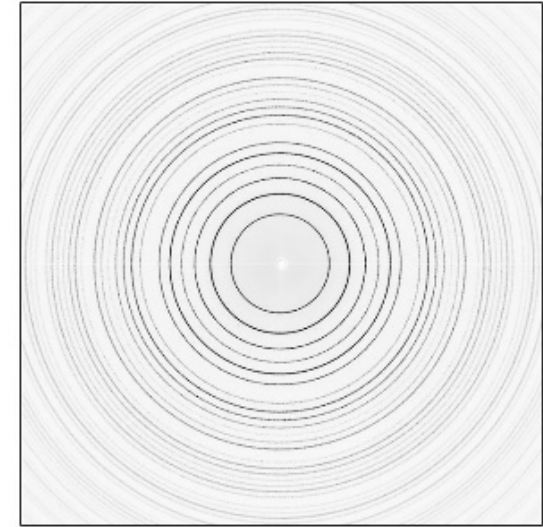
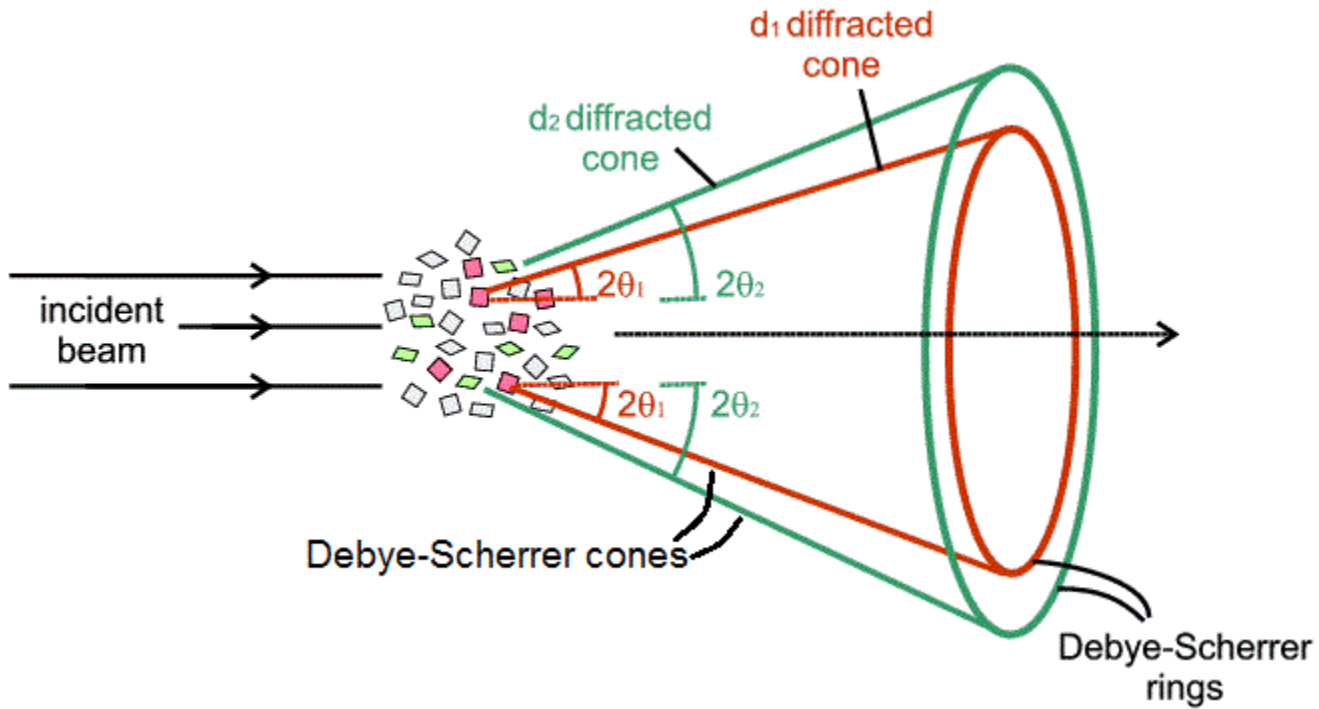
Ewald Sphere



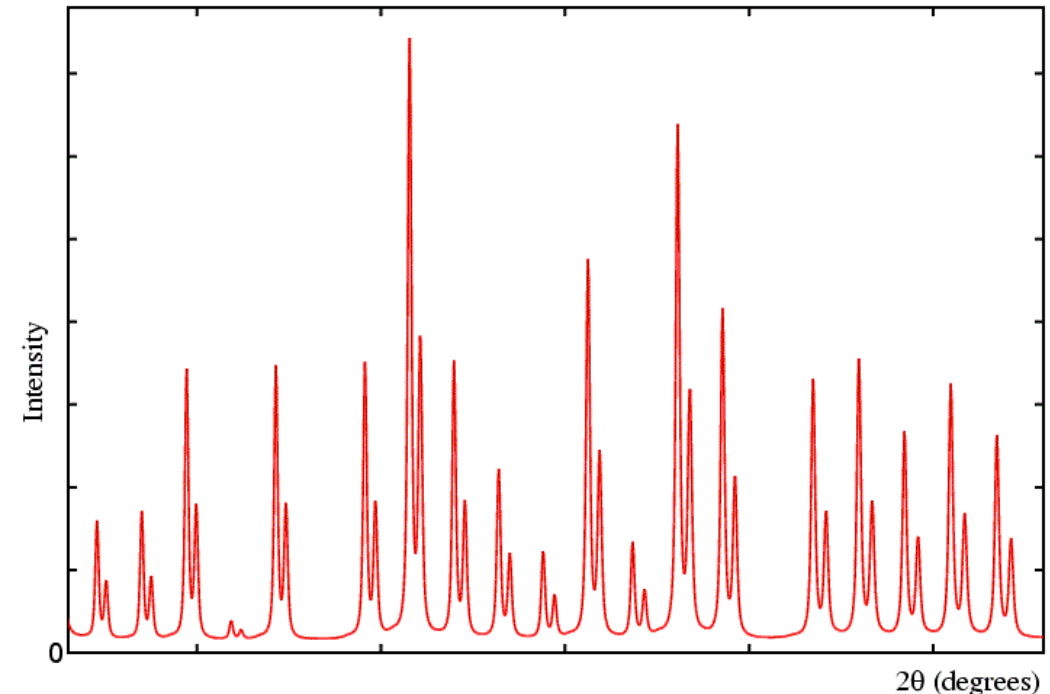
Laue's condition $Q = G \downarrow hkl$

$$Q \cdot a \downarrow 1 = 2\pi h, Q \cdot a \downarrow 2 = 2\pi k, Q \cdot a \downarrow 3 = 2\pi l$$

Powder Diffraction



- Phase identification
- Crystallinity
- Lattice parameters
- Crystallite size
- Orientation



Rietveld Refinement Method

$$I = I_0 \sum_{hkl} k_{hkl} m_{hkl} L_{hkl} F_{hkl}^2 P(\Delta_{hkl}) + I_b$$

I_0 : incident intensity

k_{hkl} : scale factor for particular phase

m_{hkl} : reflection multiplicity

L_{hkl} : correction factors on intensity (texture...)

F_{hkl} : structure factor for a particular reflection

$$F_{hkl} = \sum_i b_i e^{-iQ \cdot R_i} e^{-W_i}$$

$P(\Delta_{hkl})$: peak shape function (instrument resolution function, crystallite size, strain, defects)

I_b : background intensity

Example: Polymer Diffraction

- Phase identification

- Crystallinity

$$x_{cr} = A_{cr} / (A_{cr} + K A_{am})$$

- Lattice parameters

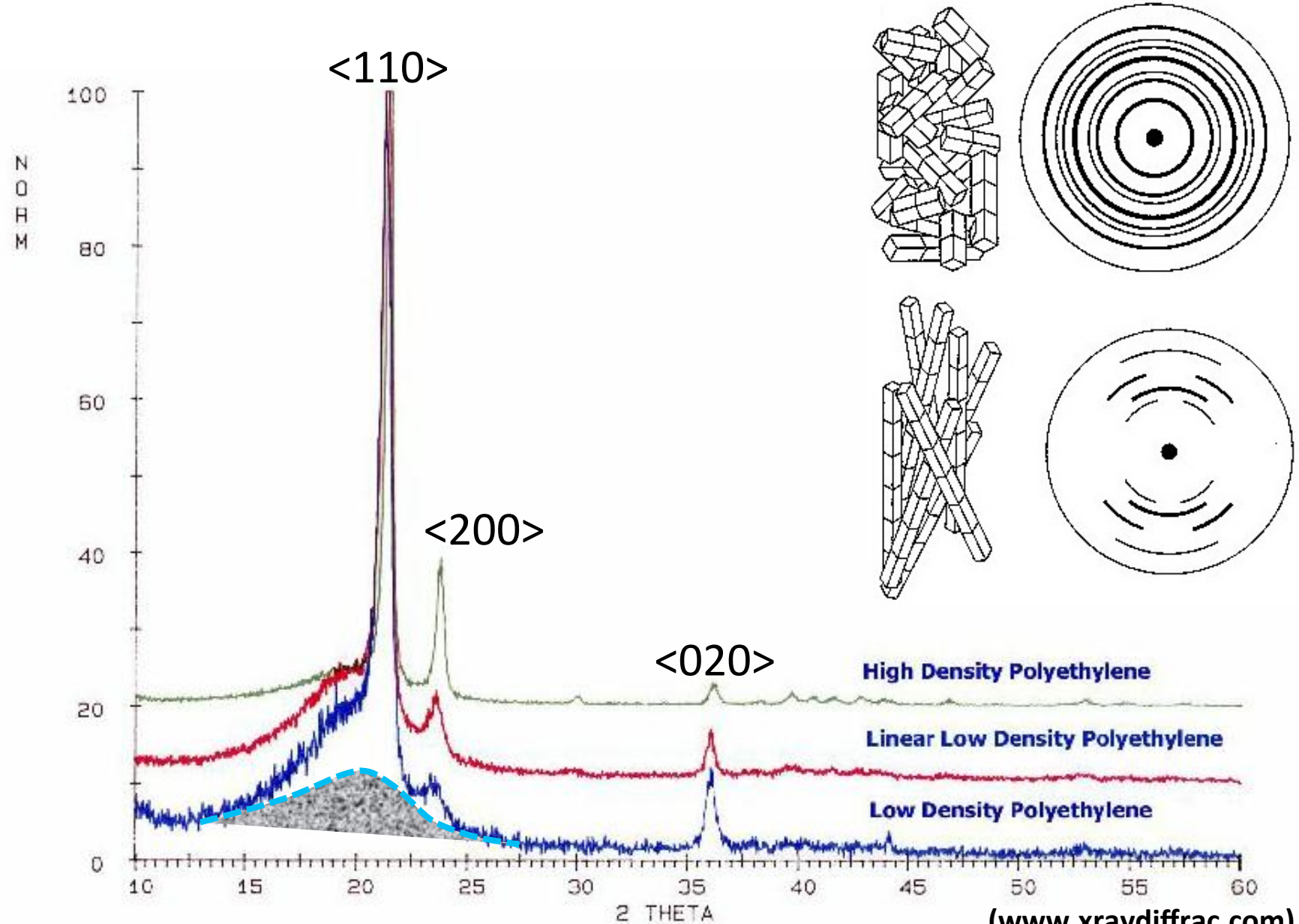
$$d = 2\pi / Q$$

- Crystallite size

$$L_{hkl} = 0.89\lambda / (FWHM - \Delta\theta) \cos\theta$$

- Orientation

$$f_{\phi} = 1/2 (3 \langle \cos^2 \phi \rangle - 1)$$



Example: Polymer Diffraction (cont'd)

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A R T I C L E S

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Crystal Structure and Hydrogen Bonding System in Cellulose I_α from Synchrotron X-ray and Neutron Fiber Diffraction

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